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# TRANSMITTAL FORM

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ORIGINALLY FILED

Application Number	10/052,921
Filing Date	11/09/2001
First Named Inventor	Chunzeng Li et al.
Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	528.001

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

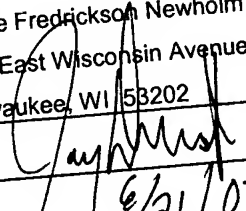
- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
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- ☐ Request for Refund
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- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
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- ☒ Other Enclosure(s) (please identify below):
- Return Postcard
- Nine (9) references

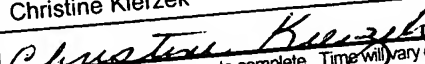
Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Jay G. Durst Boyle Fredrickson Newholm Stein & Gratz, S.C. 250 East Wisconsin Avenue, Suite 1030 Milwaukee, WI 53202
Signature	
Date	6/21/02

## CERTIFICATE OF MAILING

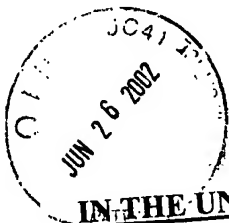
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PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

ORIGINAL FILED

Application of: \_\_\_\_\_ )

CHUNZENG LI ET AL. )

Serial No.: 10/052,921 )

Filed: November 9, 2001 )

Examiner: )

Art Unit: )

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**INFORMATION DISCLOSURE STATEMENT**

Director of the U.S. Patent  
and Trademark Office  
Washington, D.C. 20231

Sir:

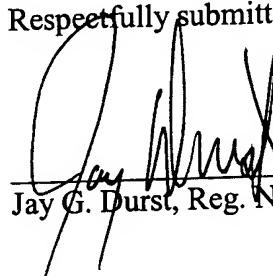
This Information Disclosure Statement is being submitted under the provision of 37 CFR §§ 1.56 and 1.97(b)(3). A copy of each reference or document listed on the attached Form PTO-1449 is also included as required under 37 CFR § 1.98.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed documents are material or constitute "prior art." If it should be determined that any of the listed documents do not constitute "prior art" under the United States law, Applicant reserves the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

This Information Disclosure Statement is submitted prior to the mailing of a first office action on the merits as permitted under § 1.97(b)(3) and, therefore, no fee is due at this time. However, the Commissioner is hereby authorized to charge payment of any additional fee(s) associated with this or any other communication or credit any overpayment to Deposit Account No. 50-1170, if necessary.

Respectfully submitted,

  
Jay G. Durst, Reg. No. 41,723

Dated: 6/21/02

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Docket No. 528.001

<p>Form PTO-1449 (Modified) Page 1 of 1</p> <p><b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b></p> <p>(Use several sheets if necessary)</p> <p style="text-align: center;"><b>COPY OF PAPERS ORIGINALLY FILED</b></p>	<p>Atty. Docket No.: 528.001</p>	<p>Serial No.: 10/052,921</p>
<p>Applicant: Chunzeng Li et al.</p>		
<p>Filing Date: 11/09/2001</p>		<p>Group: Not Yet Assigned</p>

**REFERENCE DESIGNATION**

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	4,668,865	05/26/1987	Gimzewski et al.	250	306
	AB	4,871,938	10/03/1989	Elings et al.	310	328
	AC	4,939,363	07/03/1990	Bando et al.	250	306
	AD	5,202,004	04/13/1993	Kwak et al.	204	153.1
	AE	5,497,000	03/05/1996	Tao et al.	250	307
	AF	5,742,172	04/21/1998	Yasutake	324	754
	AG	5,750,989	05/12/1998	Lindsay et al.	250	306
	AH	6,005,246	12/21/1999	Kitamura et al.	250	306
	AJ	6,073,485	06/13/2000	Kitamura	73	105
	AK	6,245,204	06/12/2001	Lindsay et al.	204	400
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**FOREIGN PATENT DOCUMENTS**

	Document Number	Date	Country	Class	Subclass	Translation	
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**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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